A continuous satellite-derived global record of land surface evapotranspiration from 1983 to 2006

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[1] We applied a satellite remote sensing—based evapotranspiration (ET) algorithm to assess global terrestrial ET from 1983 to 2006. The algorithm quantifies canopy transpiration and soil evaporation using a modified Penman-Monteith approach with biome-specific canopy conductance determined from the normalized difference vegetation index (NDVI) and quantifies open water evaporation using a Priestley-Taylor approach. These algorithms were applied globally using advanced very high resolution radiometer (AVHRR) GIMMS NDVI, NCEP/NCAR Reanalysis (NNR) daily surface meteorology, and NASA/GEWEX Surface Radiation Budget Release-3.0 solar radiation inputs. We used observations from 34 FLUXNET tower sites to parameterize an NDVI-based canopy conductance model and then validated the global ET algorithm using measurements from 48 additional, independent flux towers. Two sets of monthly ET estimates at the tower level, driven by in situ meteorological measurements and meteorology interpolated from coarse resolution NNR meteorology reanalysis, agree favorably (root mean square error $(RMSE) = 13.0-15.3 \text{ mm month}^{-1}$; $R^2 = 0.80-0.84$) with observed tower fluxes from globally representative land cover types. The global ET results capture observed spatial and temporal variations at the global scale and also compare favorably (RMSE = 186.3 mm yr^{-1} ; $R^2 = 0.80$) with ET inferred from basin-scale water balance calculations for 261 basins covering 61% of the global vegetated area. The results of this study provide a relatively long term global ET record with well-quantified accuracy for assessing ET climatologies, terrestrial water, and energy budgets and long-term water cycle changes.

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1. Introduction

[2] Evapotranspiration (ET) is a major component of the global water cycle and represents a critical link between terrestrial water, carbon, and surface energy exchanges. The world has experienced persistent climatic warming attributed largely to human activities over the past century [Trenberth et al., 2007], and the warming is projected to continue [Meehl et al., 2007]. Recent climatic changes have altered the global water cycle and surface energy budget [Huntington, 2006; Trenberth et al., 2007]. To better understand these regional and global water balance changes, each term in the terrestrial water balance equation, $\Delta s = P - ET - R$, must be accurately measured or quantified. Precipitation (P) and runoff (R) can be directly measured by in situ weather stations and stream gauge networks. However, ET is inherently difficult to measure and predict especially at large spatial scales. Recent advances in retrieval algorithms and satellite remote sensing technology now enable large-scale mapping

[3] Remote sensing (RS), especially from polar-orbiting satellites, provides relatively frequent and spatially contiguous measurements for global monitoring of surface biophysical variables affecting ET, including albedo, vegetation type, and density. There are a multitude of RS-based ET products derived from simplified process models [e.g., Monteith, 1972; Norman et al., 1995; Priestley and Taylor, 1972] driven by RS inputs [Anderson et al., 2008; Cleugh et al., 2007; Fisher et al., 2008; Mu et al., 2007], thermal RS-based surface energy balance (SEB) approaches [Bastiaanssen et al., 1998; Kalma and Jupp, 1990; Su, 2002], and empirical, vegetation index-ET relationships, i.e., the triangle method or its derivates [Gillies et al.,

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and monitoring of ET [e.g., Cleugh et al., 2007; Fisher et al., 2008; Mu et al., 2007; Zhang et al., 2009], P [e.g., Hong et al., 2005; Hsu et al., 1997; Huffman et al., 2007; Joyce et al., 2004; Kummerow et al., 2001; Sorooshian et al., 2000], and water storage (Δs) [Tapley et al., 2004]. However, basin-scale water budget closure is rarely, if ever, achieved due to large and variable uncertainties and inconsistencies among the water budget terms and associated products [Pan and Wood, 2006; Sheffield et al., 2009; Ferguson et al., 2010]. Improved accuracy in quantifying the magnitude and variability of regional and global water and energy fluxes, closing the water budget worldwide and hence improving weather forecasting, climate, and water availability assessments are the ultimate goals of current water and energy cycle research.

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1997; Nemani and Running, 1989; Nishida et al., 2003; Q. Tang et al., 2009]. Comprehensive reviews of the historical development and accuracies of in situ and RS-based ET estimation methods are provided elsewhere [e.g., Glenn et al., 2007; Kalma et al., 2008]. The various RS-based ET methods have different spatial scales and domains, temporal coverage, input requirements, and accuracies. However, there is currently no continuous, long-term (i.e., from the early 1980s) satellite-based global ET record available for global change studies.

[4] Cleugh et al. [2007] proposed a methodology that estimates 8 day evaporation at 1 km spatial resolution using gridded meteorological fields and the Penman-Monteith (PM) equation [Monteith, 1965], where the surface conductance term is a simple function of remotely sensed leaf area index (LAI) from the Moderate Resolution Imaging Spectrometer (MODIS). Mu et al. [2007] revised the surface conductance model of Cleugh et al. [2007] to produce a global ET algorithm by accounting for stomata response to temperature and atmospheric humidity deficit and introducing a separate soil evaporation term not explicitly considered by Cleugh et al. [2007]. This algorithm was further modified by incorporating surface meteorology retrievals from AMSR-E microwave remote sensing over the high latitudes [Mu et al., 2009] and is experiencing other ongoing improvements. Meanwhile, Leuning et al. [2008] developed a biophysical, six-parameter surface conductance model, which can be reduced to a two-parameter model, driven by LAI to replace *Cleugh et al.*'s empirical surface conductance model. The Leuning et al. model accounts for stomatal conductance sensitivity to atmospheric humidity deficit and light, and includes a simple term for soil evaporation; the ET results derived using optimized parameters showed improved performance relative to Cleugh et al.'s model in relation to measurements from 15 global flux station sites. In a companion study, Y. Zhang et al. [2008] optimized the parameters of this model using steady state water balance estimates (P-R) from gauged catchments in Australia and applied the model to estimate catchment-level evaporation. These studies show favorable ET accuracy at both site and catchment levels [Leuning et al., 2008; Y. Zhang et al., 2008]. However, this approach is sensitive to uncertainty in LAI inputs [Leuning et al., 2008]. It is also necessary to optimize the model parameters [Y. Zhang et al., 2008]; thus, additional parameter optimization is likely to be needed for global application of this approach. The Leuning et al. and Mu et al. models are also limited by global LAI availability and accuracy in the pre-MODIS era (i.e., before 2000). Alternatively, the normalized difference vegetation index (NDVI) is sensitive to photosynthetic leaf area and calculated directly from satellite sensor spectral reflectances. Unlike the downstream LAI product, there are no model-related errors in NDVI. The NOAA advanced very high resolution radiometer (AVHRR)based NDVI record extends from 1981 to present and can be used for global long-term ET mapping since NDVI is sensitive to vegetation structure and photosynthetic canopy cover. The results of Cleugh et al. [2007], Mu et al. [2007], and Leuning et al. [2008] show that the PM equation is a biophysically sound and robust framework for estimating daily ET at regional to global scales using remotely sensed data.

[5] We developed a biome-specific, NDVI-based canopy conductance model that accounts for stomata response to temperature and atmospheric vapor pressure deficit and the

unique physiological characteristics of different biomes [Zhang et al., 2009]. Canopy conductance is defined using empirical relationships between potential surface conductance (g_0) and NDVI and reduced by temperature and moisture constraint multipliers. The canopy conductance and NDVI functional relationships are derived for different biomes using regional flux tower measurements and then coupled with PM based canopy and soil evaporation models, and a Priestly-Taylor (PT)-based open water evaporation model to determine the aggregated ET of a grid cell. This approach was successfully applied to produce a long-term (1983-2005) daily ET record for the pan-Arctic basin and Alaska [Zhang et al., 2009]. These results showed generally improved performance over Mu et al.'s global ET method in relation to tower based meteorology from 14 sites representing regionally dominant biomes. However, the northern biomes represent less than half of all global land cover types. Moreover, NDVI and canopy conductance relationships were only defined for four regionally dominant biomes due to sparse regional tower eddy covariance measurements.

[6] In this study, we extend our RS NDVI-based ET algorithm to the global domain and derive biome specific NDVI-based canopy conductance functions for all major global biomes using surface energy fluxes and meteorology measurements from the global FLUXNET tower network [Baldocchi, 2008]. The objectives of this study are to (1) derive biome-specific NDVI-based canopy conductance functions for the major global biome types and correspondingly refine the RS NDVI-based ET algorithm for global applications and (2) generate a global long-term (1983–2006) daily ET record with well-quantified accuracy for studies on regional/global water balances changes.

2. Theory

2.1. ET Algorithm Logic

[7] We extended an NDVI-based ET algorithm developed for the northern high latitudes in the work of Zhang et al. [2009] to a global domain for this study. The core components of the ET algorithm are similar to those of *Zhang et al.* [2009], but with substantial algorithm modifications needed for global applications, including (1) deriving biome-specific canopy conductance versus NDVI functions for the major global biome types using daily eddy covariance and associated meteorological measurements from globally distributed tower sites, (2) replacing the calculation of soil heat flux and heat storage as a constant fraction of net radiation with more physically based equations, (3) calculating ET for mixed forest land cover types as a composite of component ET values from the distinct growth forms (e.g., deciduous versus evergreen) comprising this class rather than as a single biome type.

[8] In our ET algorithm, energy at the surface of the earth is governed by the surface energy balance equation,

$$R_{\rm n} = H + \lambda E + G,\tag{1}$$

where R_n (W m⁻²) is the net radiation flux, H (W m⁻²) is the surface sensible heat flux, λE (W m⁻²) is the surface latent heat flux (LE), and G is the sum of the soil heat flux and

heat storage in above-ground biomass for vegetated areas or heat storage in water bodies. R_n is calculated using

$$R_{\rm n} = R_{\rm ns} - R_{\rm nl} = (1 - \alpha)R_{\rm s\downarrow} - R_{\rm nl},\tag{2}$$

where R_{ns} is net shortwave (i.e., solar) radiation, $R_{s\downarrow}$ is incoming shortwave radiation, α is surface albedo, and R_{nl} is outgoing net longwave radiation. R_{nl} is calculated using the method of *Allen et al.* [1998],

$$R_{\rm nl} = \sigma \left[\frac{T_{\rm max,K}^4 + T_{\rm min,K}^4}{2} \right] (0.34 - 0.14\sqrt{e_{\rm a}}) \left(1.35 \frac{R_{\rm s\downarrow}}{R_{\rm so}} - 0.35 \right), \tag{3}$$

where σ is the Stefan-Boltzmann constant (4.903 × 10⁻⁹ MJ K⁻⁴ m⁻² d⁻¹), $T_{\text{max},K}$ and $T_{\text{min},K}$ are the daily maximum and minimum air temperature in Kelvin, respectively, e_{a} (Pa) is the actual daily air water vapor pressure, and R_{so} (W m⁻²) is clear-sky incoming shortwave radiation.

[9] In our algorithm, we first identified open water body and vegetated pixels using a remote sensing—derived global land cover classification. For vegetated pixels, we used the PM equation with a biome-specific NDVI-based canopy conductance model to calculate vegetation transpiration and a modified PM equation to calculate soil evaporation. We used the PT method to calculate evaporation for water body pixels.

2.1.1. Evapotranspiration for Vegetated Areas

[10] ET for vegetated areas is partitioned into soil evaporation and canopy transpiration by partitioning available energy for ET using the fractional vegetation cover (fc) derived from satellite observed NDVI. Detailed information about fc is available elsewhere [e.g., Mu et al., 2007]. The available energy for ET (A: W m⁻²) is determined as the difference between R_n and G. For vegetated areas, G is calculated as a function of R_n and fc according to Su et al. [2001],

$$G = R_{\rm n} \times [\Gamma_{\rm c} + (1 - f_{\rm c}) \times (\Gamma_{\rm s} - \Gamma_{\rm c})], \tag{4}$$

where Γ_c and Γ_s are the ratios of G to R_n for full vegetation canopy and bare soil, respectively. Su et al. [2001] assumed Γ_c and Γ_s as global constants, while we regarded Γ_c and Γ_s as biome-specific constants in this study.

[11] The A term is then linearly partitioned into available energy components for the canopy $(A_{\rm Canopy}: {\rm W~m}^{-2})$ and soil surface $(A_{\rm Soil}: {\rm W~m}^{-2})$ using $f_{\rm C}$ such that

$$A_{\text{Canopy}} = A \times f_{\text{C}},$$
 (5)

$$A_{\text{Soil}} = A \times (1 - f_{\text{C}}). \tag{6}$$

[12] The PM equation is used to calculate vegetation transpiration as

$$\lambda E_{\text{Canopy}} = \frac{\Delta A_{\text{Canopy}} + \rho C_{\text{P}}(e_{\text{sat}} - e_{\text{a}})g_{\text{a}}}{\Delta + \gamma (1 + g_{\text{a}}/g_{\text{s}})},\tag{7}$$

where $\lambda E_{\rm Canopy}({\rm W~m^{-2}})$ is the latent heat flux of the canopy (i.e., $LE_{\rm Canopy}$) and λ (J kg⁻¹) is the latent heat of vaporization; $\Delta = {\rm d}~(e_{\rm sat})~/~{\rm d}T~({\rm Pa~K^{-1}})$ and is the slope of the curve relating saturated water vapor pressure ($e_{\rm sat}$: Pa) to air temperature (T: K); $e_{\rm sat}-e$ is equal to the vapor pressure

deficit (VPD: Pa); ρ (kg m⁻³) is the air density; C_P (J kg⁻¹ K⁻¹) is the specific heat capacity of air; and g_a (m s⁻¹) is the aerodynamic conductance. The psychrometric constant is given by $\gamma = (M_a / M_w)(C_p P_{air} / \lambda)$ where M_a (kg mol⁻¹), M_w (kg mol⁻¹), and P_{air} (Pa) are the molecular mass of dry air, the molecular mass of wet air, and the air pressure, respectively. The g_s (m s⁻¹) term in the original PM equation is the surface conductance. Since we use the PM equation to calculate canopy transpiration in this section, the g_s term is identical to the canopy conductance (g_c), where g_c is calculated using a biome-specific NDVI-based Jarvis-Stewart-type canopy conductance model [*Zhang et al.*, 2009],

$$g_{\rm c} = g_0({\rm NDVI}) \times m(T_{\rm day}) \times m({\rm VPD}),$$
 (8)

where g_0 (NDVI) is the biome-dependent potential (i.e., maximum) value of g_c , which is a function of NDVI; $T_{\rm day}$ (°C) is the daylight average air temperature; $m(T_{\rm day})$ is a temperature stress factor and function of $T_{\rm day}$; $m({\rm VDP})$ is a water/moisture stress factor and function of VPD. The temperature stress factor $m(T_{\rm day})$ follows the equation detailed by *June et al.* [2004] with an optimum temperature $T_{\rm opt}$,

$$m(T_{\text{day}}) = \begin{cases} 0.01 & T_{\text{day}} \leq T_{\text{close_min}} \\ \exp\left(-\left(\frac{T_{\text{day}} - T_{\text{opt}}}{\beta}\right)^2\right) & T_{\text{close_min}} < T_{\text{day}} < T_{\text{close_max}} \\ 0.01 & T_{\text{day}} \geq T_{\text{close_max}} \end{cases}$$
(9)

where $T_{\rm opt}$ (°C) is a biome-specific optimal air temperature for photosynthesis; $T_{\rm close_min}$ (°C) and $T_{\rm close_max}$ (°C) are the biome-specific minimum and maximum critical temperatures for stomatal closure and the effective cessation of plant photosynthesis; β (°C) is a biome-specific parameter and is the difference in temperature from $T_{\rm opt}$ at which temperature stress factor falls to 0.37 (i.e., e^{-1}). The m(VPD) term is calculated as,

$$m(\text{VPD}) = \begin{cases} 1.0 & \text{VPD} \leq \text{VPD}_{\text{open}} \\ \\ \frac{\text{VPD}_{\text{close}} - \text{VPD}}{\text{VPD}_{\text{close}} - \text{VPD}_{\text{open}}} & \text{VPD}_{\text{open}} < \text{VPD} < \text{VPD}_{\text{close}}, \\ \\ 0.1 & \text{VPD} \geq \text{VPD}_{\text{close}} \end{cases}$$

$$(10)$$

where VPD_{open} (Pa) is the biome-specific critical value of VPD at which the canopy stomata are completely open; VPD_{close} (Pa) is the biome-specific critical value of VPD at which canopy stomata are completely closed.

[13] Soil evaporation is calculated using the soil evaporation equation from *Mu et al.* [2007] and *Zhang et al.* [2009], which is a combination of an adjusted PM equation and the complementary relationship hypothesis [*Bouchet*, 1963; *Fisher et al.*, 2008]. The soil evaporation equation and its auxiliary equations include,

$$\lambda E_{\text{Soil}} = \text{RH}^{(\text{VPD}/k)} \frac{\Delta A_{\text{Soil}} + \rho C_{\text{P}} \text{VPD} g_{\text{a}}}{\Delta + \gamma \times g_{\text{a}} / g_{\text{totc}}}, \tag{11}$$

$$g_{\rm a} = g_{\rm ch} + g_{\rm rh}, \tag{12}$$

$$g_{\rm rh} = \left(4.0 \times \sigma \times T_{\rm day}^3\right) / (\rho C_{\rm P}),$$
 (13)

$$g_{\text{totc}} = g_{\text{tot}} \times G_{\text{corr}},$$
 (14)

$$G_{\text{corr}} = \left(\frac{273.15 + T_{\text{day}}}{293.15}\right) \times \frac{101300}{P_{\text{air}}},$$
 (15)

where RH is the relative humidity of air with values between 0 and 1; RH^(VPD/k) is a moisture constraint on soil evaporation [Fisher et al., 2008], which is an index of soil water deficit based on the complementary relationship of *Bouchet* [1963] whereby surface moisture status is linked to and reflects the evaporative demand of the atmosphere. The assumption is that soil moisture is reflected in the adjacent atmospheric moisture. k (Pa) is a parameter to fit the complementary relationship and reflects the relative sensitivity to VPD [Fisher et al., 2008]. In this study, considering the possible impacts of different vegetation morphology and root zone structure among different biomes on this complementary relationship, we empirically adjusted the kparameter for different vegetation types. The $g_{\rm rh}$ (m s⁻¹) term is the conductance to radiative heat transfer and is calculated using equation (13) following Choudhury and *DiGirolamo* [1998]. In equation (13), T_{day} is in Kelvin. The g_{ch} (m s⁻¹) term is the conductance to convective heat transfer and is assumed to be equal to the boundary layer conductance $(g_{bl}: \text{m s}^{-1})$ [Thornton, 1998]. In this study, we assigned the g_{ch} and g_{bl} terms as biome-specific constants by following *Thornton* [1998] and *Mu et al.* [2007]. The g_{tot} (m s⁻¹) term is the total aerodynamic conductance to vapor transport and the combination of surface and aerodynamic conductance components. The g_{totc} (m s⁻¹) term is the corrected value of gtot from the standard conditions for temperature and pressure (STP) using the correction coefficient (G_{corr}) following *Jones* [1992]. In this study, g_{tot} is adjusted by land cover class following Zhang et al. [2009].

[14] The mixed deciduous and evergreen forest (MF) land cover class represents approximately 7% of the global vegetated land area as defined by a global 500 m resolution land cover map [Friedl et al., 2010]. For this study, deciduous and evergreen components of the MF class were distinguished for the ET calculation. We first derived the relative proportions of each forest type within MF pixels using available satellite remote sensing—derived percentage of tree cover products representing leaf longevity (evergreen and deciduous) and leaf type (broadleaf and needleleaf) components [DeFries et al., 2000a, 2000b]. We then used the above canopy transpiration and soil evaporation algorithms to calculate ET for each forest type and weighted the results to produce composite ET values for each MF pixel.

2.1.2. Evaporation Over Water Bodies

[15] For water bodies, G is calculated as a function of air temperature and effective water depth (ΔZ : m) for heat exchange, based on the premise that water surface temperature generally follows air temperature [e.g., *Pilgrim et al.*, 1998; *Livingstone and Dokulil*, 2001; *Morrill et al.*, 2005],

$$G = \rho_{W} \times c_{W} \times K \times (T_{avg,i} - T_{avg,i-1}) \times \Delta Z, \qquad (16)$$

where $\rho_{\rm w}$ (1.0 × 10³ kg m⁻³) is the water density; $c_{\rm W}$ (4.186 J g⁻¹ °C⁻¹) is the specific heat of water; $T_{\rm avg,\it{i}}$ and $T_{\text{avg},i-1}$ are the daily average air temperatures for the current day and previous day, respectively; and K is the slope of the simple linear regression of water surface temperature on air temperature and represents the ratio of water temperature change to surface air temperature change. Pilgrim et al. [1998] reported a slope of 0.82 for the linear relationship between water temperature records and associated air temperature records in 39 Minnesota stream temperature monitoring stations. Morrill et al. [2005] examined the air temperature/stream water temperature relationship at a geographically diverse set of streams and found that the majority of streams showed a slope of 0.6–0.8 for the linear regression between stream temperature and air temperature. Therefore, we set K to the mean (0.7) of previously reported values. The effective water depth is the uppermost wellmixed layer of the epilimnion and depends on morphology of open water bodies and other climatic factors. The literature suggests that the depth of epilimnion varies from tens of centimeters to several meters [e.g., Mazumder et al., 1990]. To simplify, we set the value of ΔZ to 1.5 m in this study.

[16] The evaporation for open water pixels was then calculated using the PT equation [*Priestley and Taylor*, 1972],

$$\lambda E_{\text{Water}} = a \frac{\Delta A}{\Delta + \gamma},\tag{17}$$

where the PT coefficient *a* accounts for evaporation arising from the atmospheric vapor pressure deficit in addition to the equilibrium term and is set to 1.26 following *Priestley and Taylor* [1972]. The PT coefficient of 1.26 is generally valid for the saturated surface [*Priestley and Taylor*, 1972] and is even valid for wet meadow [*Stewart and Rouse*, 1977] and well-watered grass [*Lhomme*, 1997]. Therefore, we adopted this approach to estimate evaporation for smaller (length scales less than 8km) water bodies.

2.2. Biome-Specific Potential Canopy Conductance Versus NDVI Functions

[17] We used measured energy fluxes and daily meteorology from eddy covariance flux towers (see section 3) with corresponding NDVI time series from the AVHRR GIMMS data set [Pinzon et al., 2005; Tucker et al., 2005] to establish biome-specific relationships between g_0 and NDVI. We first removed the calculated soil latent heat flux (LE_{Soil} or λE_{Soil}) (method introduced in section 2.1.1) driven by in situ tower meteorology from the tower LE measurements to determine canopy latent heat flux (LE_{Canopy} or λE_{Canopy}). We then derived the canopy conductance term (g_c) from LE_{Canopy} and the in situ tower meteorology using the rearranged PM equation,

$$g_{\rm c} = \frac{g_{\rm a} \gamma L E_{\rm Canopy}}{\Delta A_{\rm Canopy} + \rho C_{\rm P} V P D g_{\rm a} - L E_{\rm Canopy}(\Delta + \gamma)}. \tag{18}$$

[18] Because of lack of available vertical wind profile measurements, we set values of g_a as biome-specific constants based on evidence that the range of g_a variability is generally conservative over low wind speeds (e.g., $\leq 5 \text{ m s}^{-1}$)

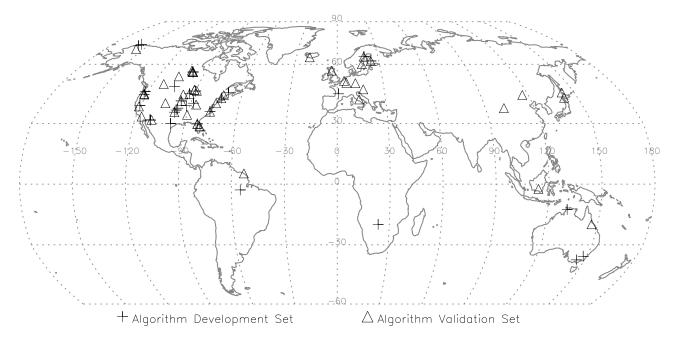


Figure 1. Locations of the flux tower sites used for ET algorithm development (34 sites) and validation (48 sites).

and aerodynamically rough surfaces following *Monteith and Unsworth* [2007]. Although the use of a constant g_a can introduce uncertainty into the ET estimates, this simplification has been successfully applied for similar satellite based ET mapping studies [e.g., *Mu et al.*, 2007; *Y. Zhang et al.*, 2008; *Zhang et al.*, 2009]. By substituting g_c in equation (18) for g_c in equation (8) and rearranging the equation, we derived the potential surface conductance as

$$g_{0} = \frac{g_{a} \gamma L E_{\text{Canopy}}}{\left[\Delta A_{\text{Canopy}} + \rho C_{\text{P}} \text{VPD} g_{a} - L E_{\text{Canopy}} (\Delta + \gamma)\right] \cdot m(T_{\text{day}}) \cdot m(\text{VPD})}$$
(19)

[19] We (1) calculated daily g_0 for the major global biome types using daily surface meteorology and LE measurements from selected representative flux towers within each biome; (2) sorted the g_0 series for each NDVI interval (interval size = 0.04) in numeric order and removed outliers falling below the 10th percentile and above the 90th percentile for g_0 ; (3) calculated average daily values of g_0 and NDVI for each NDVI interval with sufficient (\geq 10) samples; and (4) fitted the scatter plots of g_0 versus NDVI using sigmoid response functions for each biome type following *Zhang et al.* [2009],

$$g_0(NDVI) = 1/[b_1 + b_2 \times \exp(-b_3 \times NDVI)] + b_4,$$
 (20)

where b_1 (s m⁻¹), b_2 (s m⁻¹), b_3 (dimensionless), and b_4 (m s⁻¹) are empirical parameters. Considering the constraint $g_0(0) = 0$, the b_4 parameter is equal to $-1/(b_1 + b_2)$. To analyze the uncertainty in the fitted relationship of g_0 versus NDVI, we applied an adaptive Markov chain Monte Carlo (MCMC) method [*Haario et al.*, 2006] with a chain of length 6000 to produce the 99% posterior distribution of the fitted relationship of g_0 versus NDVI for each biome type.

2.3. Global Implementation of the ET Algorithm

[20] We applied the above ET algorithm with parameterized, biome-specific NDVI-derived canopy conductance models to calculate global ET at the pixel level. We chose the 8 km resolution of the GIMMS NDVI product as the final resolution of the global ET calculations. To adequately consider land cover heterogeneity within the 8 km grid cells, we calculated the fractional coverage of every vegetation type and open water body within each 8 km grid cell using the 500 m MODIS-IGBP Collection 5 global land cover product [Friedl et al., 2010] and applied the above ET algorithm to calculate ET for every vegetation and open water class within each grid cell. Finally, we used the land cover fractions as weights to sum the ET values of each land cover type within the 8 km grid cell to produce the 8 km area-average ET.

3. Data and Methods

3.1. Eddy Covariance Flux Towers

[21] We utilized tower eddy covariance and meteorological data from 82 tower sites of the FLUXNET data archive (http://www.fluxnet.ornl.gov/); these sites were split into separate algorithm development and parameterization (34 towers) and validation (48 towers) data sets representing the major global biome types (Figure 1). The flux tower site information is summarized in Tables 1 and 2. These sites are distributed across the world in all continents and global vegetation types (Figure 1 and Tables 1 and 2). The tower sites represent 10 of the 12 IGBP global vegetation types, including evergreen needleleaf forest (ENF), evergreen broadleaf forest (EBF), deciduous broadleaf forest (DBF), mixed forest (MF), closed shrubland (CSH), open shrubland (OSH), grassland (GRS), cropland (CRP), woody savanna (WSV), and savanna (SV). Considering the geographically wide distribution of the ENF biome type and the impacts of

Table 1. Details of the 34 FLUXNET Tower Sites Used for Deriving Biome-Specific NDVI-Based Canopy Conductance Functions for Representative Global Biome Types^a

LC	Site Abbr.	Years	Latitude	Longitude	Country	References
BENF (8 sites)	FIHYY	1996–2000	61.85	24.28	Finland	Suni et al. [2003]
` ′	SWFLA	1996-1998	64.23	19.77	Sweden	Lindroth [2000a]
	CANS2	2001-2005	55.91	-98.52	Canada	Litvak et al. [2003]
	CANS4	2002-2004	55.91	-98.38	Canada	Litvak et al. [2003]
	CANS5	2001-2005	55.86	-98.49	Canada	Litvak et al. [2003]
	CAOBS	1994-2006	55.88	-98.48	Canada	Dunn and Wofsy [2006]
	USHO1	1996-2004	45.20	-68.74	USA	Hollinger et al. [2004]
	USWRC	1998-2006	45.82	-121.95	USA	Falk et al. [2008]
TENF (4 sites)	USSP2	1998-2004	29.76	-82.24	USA	Powell et al. [2008]
` ,	USNC1	2004-2006	35.81	-76.71	USA	DeForest et al. [2006]
	USBLO	1999-2006	38.90	-120.63	USA	Goldstein et al. [2000]
	USME5	2000-2002	44.44	-121.57	USA	Irvine et al. [2007]
EBF (3 sites)	BRSA1	2002-2005	-2.86	-54.96	Brazil	Hutvra et al. [2007]
, ,	AUTUM	2001-2006	-35.66	148.15	Australia	Leuning et al. [2005]
	AUWAC	2005-2006	-37.43	145.19	Australia	Wood et al. [2008]
DBF (2 sites)	USHA1	1992-2006	42.54	-72.17	USA	Urbanski et al. [2007]
` ,	USMOZ	2004-2006	38.74	-92.20	USA	Gu et al. [2007]
CSH (1 site)	USLOS	2000-2006	46.08	-89.98	USA	Cook et al. [2004]
OSH (2 sites)	USATQ	1999-2006	70.47	-157.41	USA	Kwon et al. [2006]
` /	USBRW	1999-2001	71.32	-156.63	USA	Oechel et al. [2000]
WSV (2 sites)	USSRM	2004-2006	31.82	-110.87	USA	Scott [2010]
` ,	AUHOW	2001-2006	-12.50	131.15	Australia	Beringer et al. [2007]
SV (2 sites)	USFR2	2004-2006	29.95	-98.00	USA	Heinsch et al. [2004]
, ,	BOMAU	1999-2001	-19.92	23.59	Botswana	Veenendaal et al. [2004]
GRS (4 sites)	USARB	2005-2006	35.55	-98.04	USA	Fischer et al. [2007]
` ,	USAUD	2002-2006	31.59	-110.51	USA	Wilson and Myers [2007]
	USFPE	2000-2006	48.31	-105.10	USA	Wylie et al. [2007]
	USWLR	2001-2004	37.52	-96.86	USA	Coulter et al. [2006]
CRP (6 sites)	FRBOR	1996-1998	44.70	0.77	France	Berbigier and Lousteau [2000]
` /	USARM	2003-2006	36.61	-97.49	USA	Fischer et al. [2007]
	USBO1	1996-2006	40.01	-88.29	USA	Meyers and Hollinger [2004]
	USNE1	2001-2006	41.17	-96.48	USA	Suyker and Verma [2008]
	USNE2	2001-2006	41.16	-96.47	USA	Suyker and Verma [2009]
	USRO3	2004-2006	44.72	-93.09	USA	Griffis et al. [2007]

^aThe land cover classification includes boreal and temperature evergreen needleleaf forest (BENF and TENF), evergreen broadleaf forest (EBF), deciduous broadleaf forest (DBF), mixed forest (MF), closed shrubland (CSH), open shrubland (OSH), woody savanna (WSV), savanna (SV), grassland (GRS), and cropland (CRP) vegetation types.

different climate zones on leaf longevity and associated biophysical functioning [Kikuzawa, 1995; Reich et al., 2007], we stratified the global ENF category into temperate ENF (TENF) and boreal/cold ENF (BENF) types according to a multiyear average of frost-free days ($T_{\rm Frost-free}$: days). Frost-free days are defined as those days with above-zero (°C) daily minimum air temperature and are calculated from the NCEP/NCAR Reanalysis (NNR) surface meteorology. The ENF pixels are classified as TENF when $T_{\rm Frost-free}$ exceeds 7 months (212 days); otherwise, the ENF pixels are classified as BENF.

[22] The following criteria were used to select flux tower sites for this study in order of decreasing importance: (1) at least two sites must be available for each vegetation type; (2) the selected sites should have data covering at least two growing seasons; and (3) the vegetation types within the tower footprints must be the same as the dominant vegetation type of the overlying 8 km resolution grid cell. The 82 tower sites were divided into two data sets representing an algorithm development set with 34 sites and an algorithm validation set with 48 sites. The algorithm development set was used to derive the biome-specific NDVI-based canopy conductance functions, while the validation set was used for independent validation of the global ET algorithm.

3.2. Satellite and Meteorological Inputs

[23] In this study, the satellite-based data inputs to the global ET algorithm include NDVI, land cover, tree cover continuous fields, and short-wave radiation terms, while the remaining daily surface meteorology inputs were obtained from the global NNR product [Kalnay et al., 1996; Kistler et al., 2001]. We derived a daily NDVI series for each 8 km pixel using temporal linear interpolation of adjacent semimonthly values of the 8 km semimonthly AVHRR GIMMS NDVI product [Pinzon et al., 2005; Tucker et al., 2005]. The daily linear interpolation approach is a relatively simple, but effective, means for producing daily time series of satelliteobserved vegetation state variables including fraction of photosynthetically active radiation (FPAR) and LAI and has been successfully applied for vegetation based analyses of the AVHRR series [e.g., Kimball et al., 2006, 2007; Zhang et al., 2007].

[24] The 500 m NASA MODIS Collection 5 IGBP global land cover classification (henceforth abbreviated as the 500 m MODIS-IGBP land cover) [Friedl et al., 2010] was used to determine the dominant land cover and fractional vegetation type within each 8 km AVHRR GIMMS grid cell. We then used the 1 km AVHRR Tree Cover Continuous Fields data [DeFries et al., 2000a, 2000b] to derive forest type (i.e., evergreen or deciduous) fractional cover of 8 km

Table 2. Details of the 48 FLUXNET Tower Sites Used for Independent Validation of the ET Algorithm Results

LC	Site Abbr.	Years	Latitude	Longitude	Country	References
BENF (12 sites)	SWDeg	2001–2005	64.19	19.57	Sweden	Sagerfors et al. [2008]
	ICGun	1996-1998	63.83	-20.22	Iceland	Thorgeirsson and Gudmudson [2000]
	FISii	2004-2005	61.83	24.19	Finland	Suni et al. [2003]
	UKAbe	1997-1999	56.62	-3.80	UK	Clement et al. [2003]
	CANS1	2002-2005	55.88	-98.48	Canada	Litvak et al. [2003]
	CANS3	2001-2005	55.91	-98.38	Canada	Litvak et al. [2003]
	CANS7	2002-2005	56.64	-99.95	Canada	Litvak et al. [2003]
	CANS6	2001-2005	55.91	-98.96	Canada	Litvak et al. [2003]
	BEBra	1996-1999	51.30	4.52	Belgium	Ceulemans [2000]
	GEBay	1996-1999	50.15	11.87	Germany	Tenhunen and Schulze [2000]
	USWi4	2002-2005	46.74	-91.17	USA	Noormets et al. [2007]
	USWi9	2004–2005	46.62	-91.08	USA	Noormets et al. [2007]
TENF (8 sites)	USMe1	2004–2005	44.58	-121.50	USA	Irvine et al. [2007]
TEIN (o sites)	USMe2	2002–2006	44.45	-121.56	USA	Irvine et al. [2007]
	USMe3	2004–2005	44.32	-121.61	USA	Irvine et al. [2007]
	USNR1	1998–2006	40.03	-105.55	USA	Monson et al. [2005]
	USNC2	2004–2006	35.80	-76.67	USA	DeForest et al. [2006]
	USSP1	2001–2003	29.74	-82.22	USA	Powell et al. [2008]
	USSP3	1999–2004	29.75	-82.16	USA	Powell et al. [2008]
	USKS1	2002–2003	28.46	-80.67	USA	Dijkstra et al. [2002]
EBF (3 sites)	GUGUY	2004–2006	5.28	-52.93	French Guiana	Bonal et al. [2002]
EDI (3 sites)	USKS2	2004–2006	28.61	-80.67	USA	Dijkstra et al. [2008]
	INPal	2002–2003	-2.35	114.04	Indonesia	Hirano et al. [2007]
DBF (1 site)	USMMS	1999–2006	39.32	-86.41	USA	Schmid et al. [2007]
MF (10 sites)	SWNor	1999–2000	60.08	17.47	Sweden	
MF (10 sites)	CAOAS	2002–2004	53.63	-106.20	Canada	Lindroth [2000b] Barr et al. [2006]
	BEVie	1996–1998	50.30	6.00	Belgium	Aubinet et al. [2001]
	USSyv	2001–2003	46.24	-89.35	USA	J. Tang et al. [2009]
	JATes	2001–2005	45.35	142.09	Japan	Takagi et al. [2009]
	USBar	2004–2006	44.06	-71.29	USA	Jenkins et al. [2007]
	JATom	2001–2003	42.74	141.52	Japan	Kobayashi et al. [2007]
	USLPH	2002–2005	42.54	-72.18	USA	Hadley et al. [2008]
	ITCol	1996–1997	41.87	13.63	Italy	Valentini [2000]
COTT (4 1:)	USDix	2005–2006	39.97	-74.43	USA	Clark et al. [2010]
CSH (1 site)	USSO2	1997–1999	33.37	-116.62	USA	Lipson et al. [2005]
OSH (1 site)	USIvo	2003–2006	68.49	-155.75	USA	Epstein et al. [2004]
WSV (1 site)	USVar	2000–2006	38.41	-120.95	USA	Ryu et al. [2008]
SV (1 site)	AUVir	2001–2003	-19.88	146.55	Australia	Leuning et al. [2005]
GRS (7 sites)	CALTH	2002-2004	49.71	-112.94	Canada	Wever et al. [2002]
	USBkg	2004–2006	44.35	-96.84	USA	Gilmanov et al. [2005]
	CHXil	2003–2006	44.13	116.33	China	Yuan et al. [2007]
	CHHai	2002-2004	37.60	101.30	China	Zhao et al. [2007]
	USARc	2005-2006	35.55	-98.04	USA	Fischer et al. [2007]
	USGoo	2002-2006	34.25	-89.87	USA	Gilmanov et al. [2005]
	USWkg	2004-2006	31.74	-109.94	USA	Scott [2010]
CRP (3 sites)	HUHeg	1997-2005	46.96	16.65	Hungary	Barcza et al. [2009]
	USRo1	2004-2006	44.71	-93.09	USA	Baker et al. [2007]
	USNe3	2001-2006	41.18	-96.44	USA	Suyker and Verma [2009]

grid cells containing MF pixels distinguished by the 500 m MODIS-IGBP land cover classification.

[25] The daily net, incoming, and clear-sky incoming shortwave solar radiation terms ($R_{\rm ns}$, $R_{\rm s} \downarrow$, and $R_{\rm so}$) were derived from the NASA World Climate Research Programme/Global Energy and Water-Cycle Experiment (WCRP/GEWEX) Surface Radiation Budget (SRB) Release -3.0 data sets with $1.0^{\circ} \times 1.0^{\circ}$ resolution using the Pinker/Laszlo shortwave algorithm [Pinker and Laszlo, 1992]. Daily meteorological data including maximum, minimum, and average air temperatures ($T_{\rm max}$, $T_{\rm min}$, and $T_{\rm avg}$: °C) and air water vapor pressure ($e_{\rm a}$: Pa) were derived from the National Centers for Environmental Prediction-National Center for Atmospheric Research (NCEP-NCAR) Reanalysis (NNR) [Kalnay et al., 1996; Kistler et al., 2001]. The $T_{\rm max}$, $T_{\rm min}$, and $T_{\rm avg}$ variables were also used to calculate $T_{\rm day}$ and VPD. In addition, 1 km resolution USGS Global 30 Arc-Second (GTOPO30) DEM (http://eros.usgs.gov/

products/elevation/gtopo30/gtopo30.html) information was used to calculate pixel-wise atmospheric pressure with corrections for deviations from STP (e.g., equation (15)) and to analyze topographic complexities around the 82 flux towers. The relatively coarse NASA SRB radiation and NNR meteorology data were interpolated to the 8 km resolution ET modeling grid and 1 km tower footprint spatial scales for the ET/LE estimates using bilinear interpolation.

3.3. Evaluation of ET Algorithm Performance

[26] To evaluate ET algorithm performance, we produced two sets of LE/ET estimates at the tower level using our ET algorithm. The two sets of estimates were derived from respective tower measured and reanalysis meteorology inputs spatially interpolated to the 1 km tower locations. We then compared the two sets of LE/ET estimates with measured values from the eddy covariance flux towers at daily and

monthly time scales. This process ensured that the tower level LE/ET results represented the dominant land cover class of the local tower footprint rather than the composite regional land cover attributes of the overlying 8 km resolution grid cell of the global LE/ET database. Previous research [e.g., K. Zhang et al., 2008] has shown that coarse NNR meteorology reanalysis can introduce considerable uncertainty in capturing local micrometeorology in some regions. We compared the two sets of model simulations to attribute LE/ET uncertainties between model logic error and errors due to the coarse scale reanalysis meteorology relative to tower observations. The final 8 km global LE/ET estimates are the composite values of every vegetation type and open water body delineated by the 500 m MODIS-IGBP land cover product within each 8 km grid cell. The tower-level LE/ET estimates driven by the NNR reanalysis are actually components of the final 8 km composite LE/ET falling over the tower footprints; thus, the validation of the tower-level estimates also serve as validation of the final 8 km LE/ET results. Three statistical variables were used to quantify algorithm performance, including mean residual difference (MR), root mean square error (RMSE), and simple correlation coefficient (r) between model estimates and tower measurements. The residuals are defined as the "true" values, namely, tower measurements minus model estimates. The MR is the mean value of the residuals and provides a way to quantify the bias of the estimates relative to the measurements, while the RMSE is used to describe the accuracy of the estimations. The r parameter is used to evaluate the strength of the relationships between the model results and tower observations.

[27] We verified the final global 8 km resolution ET calculations at the river basin level by comparing the model results with alternative ET estimates inferred from the longterm water balance: $\Delta s = P - ET - R$. For periods of 5 years or more, the average change in basin water storage is negligible compared to precipitation, evaporation, and runoff [e.g., Hobbins et al., 2001]. Given the condition of $\Delta s = 0$, the multiyear average water balance can be written as ET = $\overline{P} - \overline{R}$. We denoted \overline{ET} as $ET_{Infired}$ and the multiyear average basin-scale ET derived from the remote sensing ET products as ET_{RS} in this study. We chose 261 major global basins with relatively good records of stream flow discharge and precipitation to conduct the comparison. These basins cover 61% of global vegetated area, vary in drainage area from thousands to millions of square kilometers, and span the major global climate and vegetation zones. The observed steam flow data were compiled and provided by Dai et al. [2009]. The ET_{RS} and $ET_{Inferred}$ variables were compared for the same periods between 1983 and 2006 that vary with the discharge data availability of each basin. The precipitation data were obtained from the Global Precipitation Climatology Center (GPCC) monthly precipitation database [Rudolf and Schneider, 2005]. The GPCC precipitation data are provided at 0.5° resolution and produced from surface gauge network observations. The GPCC precipitation data were interpolated to the 8 km resolution ET modeling grid for basin-level water balance estimates using bilinear interpolation.

3.4. Uncertainty in Reanalysis Meteorology Inputs and Impacts on LE Estimation

[28] We used the root mean squared deviation (RMSD) metric to quantify differences between interpolated NNR

and NASA/GEWEX SRB meteorology at the site level and tower-measured meteorology and between tower-driven and reanalysis-driven daily LE estimates. The RMSD statistic is defined as

RMSD =
$$\sqrt{\frac{1}{n} \sum_{i=1}^{n} (x_{1,i} - x_{2,i})^2}$$
, (21)

where n is the sample size; $x_{1,i}$ is the measured value or measurement-driven estimate; and $x_{2,i}$ is the reanalysis value or reanalysis-driven estimate.

[29] We first evaluated overall accuracies of the five major meteorological variables used to drive our ET algorithm from NNR meteorology and NASA/GEWEX SRB based net radiation inputs, including T_{max} , T_{avg} , T_{min} , VPD and R_{n} , in relation to available daily tower measurements from all tower sites. We then analyzed the impacts of uncertainties in these variables from the NNR meteorology and NASA/GEWEX SRB solar radiation on the accuracies of reanalysis-driven daily LE estimates relative to corresponding tower-driven daily LE estimates. The RMSD values for the five variables (i.e., $RMSD(T_{max})$, $RMSD(T_{avg})$, $RMSD(T_{min})$, RMSD(VPD), and RMSD (R_n)) at the 82 tower sites were calculated. The RMSD between the tower-driven and reanalysis-driven daily LE estimates (RMSD(LE)) were also calculated. The correlations of RMSD(LE) versus RMSD(T_{max}), RMSD(T_{avg}), $RMSD(T_{min})$, RMSD(VPD) and $RMSD(R_n)$ were then used to analyze the impacts of meteorology reanalysis uncertainties on the LE estimates.

[30] Finally, we calculated the standard deviation of DEM elevations within 100 km × 100 km windows centered over each of the 82 flux tower sites as a measure of topographic heterogeneity surrounding individual tower sites. We then compared these results with the RMSDs of the meteorological variables at the 82 sites to assess relations between topographic heterogeneity and the accuracy of NNR meteorology and NASA/GEWEX SRB solar radiation in representing local tower conditions.

4. Results

4.1. Retrieved Biome-Specific Potential Canopy Conductance Versus NDVI Functions

[31] Values of g_0 derived from tower measured surface energy fluxes and meteorology from the 34 algorithm development sites are plotted in Figure 2 against satelliteobserved NDVI values of pixels overlapping the respective tower footprints for the 10 global biome types, including the TENF and BENF land cover subgroups. For all biome types, g₀ generally follows a sigmoid response curve with increasing NDVI that gradually levels off at higher NDVI values. The derived empirical parameters for the biomespecific g_0 versus NDVI relationships and other parameters used are listed in Table 3. The NDVI is an effective surrogate for canopy density. The reduced slope of this relationship at higher NDVI levels reflects increasing shading of individual leaves and leaf boundary layer adjustments with increasing canopy density. Higher canopy density can increase leaf boundary layer thickness and correspondingly reduce leaf boundary layer and canopy conductance relative to lower canopy density under the same meteorological

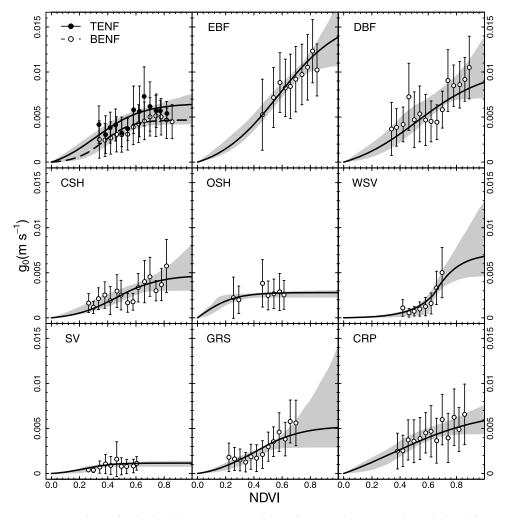


Figure 2. Scatter plots of calculated average potential surface conductance values derived from tower measurements (g_0) versus corresponding NDVI values from the AVHRR GIMMS data set and empirical fitted relationships between g_0 and NDVI using sigmoid functions for TENF, BENF, EBF, DBF, CSH, OSH, WSV, SV, GRS, and CRP vegetation types. Error bars denote the standard deviations of g_0 . Gray areas correspond to the 99% posterior limits of the fitting model uncertainty derived from an adaptive MCMC method [*Haario et al.*, 2006].

Table 3. The Biome Properties Look-up Table (BPLUT) Used for the NDVI-Based Global ET Algorithms

Parameters	BENF	TENF	EBF	DBF	CSH	OSH	WSV	SV	GRS	CRP	Sources
T _{close_min} (°C)	-8	-8	-8	-6	-8	-8	-8	-8	-8	-8	1,2
$T_{\text{open_max}}$ (°C)	40	40	50	45	45	40	50	40	40	45	3,4
VPD _{close} (Pa)	2800	2800	4000	2800	3300	3700	3200	5000	3800	3800	1,2,3
VPD _{open} (Pa)	500	500	500	650	500	500	500	650	650	650	1,2,3
$T_{\rm opt}$ (°C)	12	25	40	28	19	10	32	32	20	20	3,4
β (°C)	25	25	40	25	20	30	28	30	30	30	3
k (Pa)	150	200	300	200	400	50	900	800	500	450	3
$g_a \text{ (m s}^{-1})$	0.03	0.03	0.03	0.04	0.01	0.005	0.002	0.001	0.001	0.005	3,5
$g_{\text{tot}} \text{ (m s}^{-1})$	0.002	0.004	0.006	0.002	0.001	0.012	0.0018	0.001	0.001	0.003	3
$g_{\rm ch}~({\rm m~s}^{-1})$	0.08	0.08	0.01	0.01	0.04	0.04	0.04	0.04	0.04	0.04	6
$b_1 \text{ (s m}^{-1})$	208.3	133.3	57.7	85.8	202.0	178.6	0.2^{a}	790.9	175	105	3
$b_2 \text{ (s m}^{-1})$	8333.3	888.9	769.2	694.7	4040.4	178.6	24000 ^a	8181.8	2000	300	3
b_3	10	6	4.5	4	6.5	8	6.5 ^a	10	6	3	3

^aThe listed b_1 , b_2 , and b_3 values for the WSV biome are for the first segment of the fitted g_0 versus NDVI function when NDVI ≤ 0.64. When NDVI > 0.64, the b_1 , b_2 , b_3 , and b_4 parameters are set to 57.1, 3333.3, 8, and -0.01035, respectively; 1: *Zhao et al.* [2008]; 2: *Mu et al.* [2007]; 3: this study; 4: *Larcher* [2003]; 5: *Monteith and Unsworth* [2007]; 6: *Thornton* [1998].

conditions. These effects provide a negative feedback for transpiration so that stomatal conductance has less effect on canopy water loss at higher NDVI and canopy density levels than would be expected from an analysis of individual leaves [Jarvis and McNaughton, 1986]. The error bars show that there is variability in g_0 , which generally falls between 0.0005 and 0.002 m s⁻¹. The SV, WSV, and CSH biomes have the lowest variability in g_0 ; EBF, DBF, and CRP biomes have the highest g_0 variability, and TENF, BENF, OSH and GRS biomes show intermediate g_0 variability. The fitted g_0 versus NDVI functions using the means of g_0 should not significantly affect ET estimates considering the generally low variability in g_0 .

[32] The derived g_0 versus NDVI relationships for the 10 biome types show clear differences among each other despite having similar functional shapes; these differences reflect variations in leaf traits and physiologies among the different biome types. The relationship between g_0 and NDVI for the TENF group shows an upward shift relative to the BENF group, indicating that climate influences leaf traits and physiological responses within the broader ENF biome type. The g_0 values generally vary between 0.001 and 0.012 m s⁻¹ and are biome specific. For the same values of NDVI, g_0 values are highest for the EBF and DBF biome types followed by the CRP, GRS, TENF, and BENF types, while the CSH, OSH, WSV, and SV types have the lowest g_0 values. These results are similar to reported values of maximum stomatal conductance determined for 15 global flux towers by Leuning et al. [2008]. We applied sigmoid functions to describe the observed relationship between g_0 and NDVI in Figure 2. The fitted curves for the 10 biome types are also shown in Figure 2 and show generally favorable agreement with the tower observations. The fitted curves explain 81%, 55%, 77%, 55%, 54%, 24%, 86%, 31%, 77%, and 60% of the variation in g_0 for the BENF, TENF, EBF, DBF, CSH, OSH, WSV, SV, GRS, and CRP types, respectively. The derived 99% posterior distributions of the g_0 versus NDVI relationships are generally narrow for each biome type (Figure 2). However, there are large uncertainties in these relationships at higher NDVI values (>0.80) for several biome types, including EBF, DBF, CSH, WSV, and GRS; this is due to less data and larger variations in the relationships between g_0 and NDVI at these higher canopy densities. The larger uncertainties in the fitted g_0 versus NDVI relationships at these higher NDVI levels may introduce larger uncertainties in the corresponding LE/ET calculations.

4.2. ET Algorithm Performance Relative to Tower Measurements

4.2.1. Development Tower Set Results

[33] We produced two sets of daily LE estimates at the tower level. The first set was produced using tower-measured meteorology (hereafter called tower-driven results), while the second set was produced using daily NNR meteorology and NASA/GWEX SRB solar radiation inputs (hereinafter called reanalysis-driven results). We then compared these algorithm results with corresponding ET measurements from the respective flux towers. The statistical summaries of ET algorithm performance for the development sites are plotted in Figure 3. The MR statistics of the tower-driven daily LE estimates are within ±20 W m⁻², while

RMSE values are below 32 W m^{-2} for all 34 sites (Figure 3). The correlation coefficients between tower-driven daily LE estimates and daily LE observations are higher than 0.6. The lowest r value is 0.649 at the USWRC site (Figure 3). Overall, there is no substantial difference in ET algorithm performance among the different biome types.

[34] The daily LE simulations driven by NNR meteorology and NASA/GEWEX SRB solar radiation inputs are similar to the results derived from the tower-measured meteorology. However, the reanalysis-driven simulations have generally larger MR and RMSE differences and lower correlation coefficients relative to the tower-derived results, which are attributed to differences between the coarse (1.9° × 1.875° resolution) NNR meteorology and NASA/GEWEX SRB solar radiation (at the $1.0^{\circ} \times 1.0^{\circ}$ resolution) and local micrometeorology within the tower footprints. Insufficient representation of local tower meteorological conditions by the coarser NNR and/or NASA/ GEWEX SRB inputs substantially reduce accuracy of the reanalysis-driven daily LE estimates at several sites, including USBLO, AUWAC, BRSA1, USSRM, and USAUD. However, this does not necessarily mean that the reanalysisdriven LE estimates have large biases and uncertainties at regional scales because these results may actually be more representative of surrounding landscape conditions than the local tower footprint.

[35] The daily time series of modeled LE fluxes derived from tower-measured meteorology and meteorology reanalysis inputs are plotted with corresponding tower LE measurements in Figure 4 for representative tower sites from the algorithm development set. Each of the selected towers represents the longest measurement record for the respective biome type. There are some uncertainties in the model results at several sites. For example, both sets of model results at CAOBS tend to underestimate mid-season ET values. There are many low LE values in summer from the reanalysis-driven ET results at USBLO, which are caused by considerable overestimation of NNR VPD inputs for this period. Overall, both sets of model results generally agree well with tower observations and capture observed LE seasonality and interannual variability and associated differences among the global biomes represented.

4.2.2. Validation Tower Set Results

[36] ET algorithm performance for the validation tower set was similar to the results from the algorithm development tower set (Figure 5), although the MR and RMSE statistics for the validation set have slightly wider distributions than the algorithm development set. The MR values of the tower-driven daily LE estimates fall within $\pm 20 \text{ W m}^{-2}$ for 44 sites and within $\pm 30 \text{ W m}^{-2}$ for the remaining four validation sites (Figure 5). The RMSE values of tower-driven LE estimates are below 45 W m⁻² for all 48 validation sites, of which 45 sites have RMSE differences below 32 W m⁻² (Figure 5). Correlation coefficients between tower meteorology based daily LE estimates and tower observations are generally higher than 0.6, while the lowest r value is 0.24 for the USSO2 site (Figure 5).

[37] The reanalysis-driven daily LE simulations have similar accuracies as the tower-driven results for most sites but markedly lower accuracies at some sites due to differences between reanalysis and local tower meteorology. Figure 6 shows the time series of modeled daily LE fluxes driven by tower measurements and reanalysis inputs with

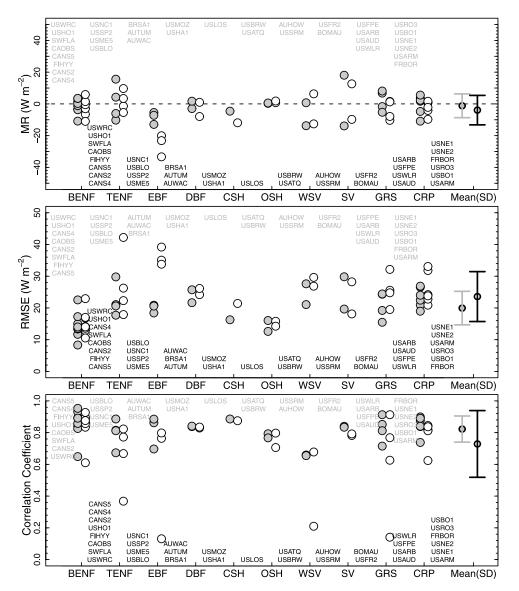


Figure 3. Comparisons of modeled and measured daily LE for the 34 algorithm development tower sites. The statistics include mean residual (Observation-Estimate) (MR), root mean square error (RMSE), and correlation coefficient (r) between modeled and measured values. The modeled results include estimates driven by (1) tower-measured meteorology (closed circles in gray) and (2) NNR meteorology (open circles). The site names are labeled in gray from top to bottom in the same order of the corresponding statistical values for the tower-driven results in each panel, while the site names are listed in black from bottom to top for the reanalysis-driven results in each frame. The cross-site means and standard deviations of the three statistics are also plotted. All r values are significant with 99% confidence.

corresponding tower LE measurements for representative tower sites from the validation set. Each of the selected towers represents one biome type with the longest record within the respective tower group. There are large differences between modeled and measured LE values at several sites. For the USNR1 site, there are many measured high LE values during winters in 1999, 2000, 2001, and 2002, but these patterns do not show in the following years, indicating that the large winter LE variations in the earlier years may be an artifact of measurement errors. Both tower-driven and reanalysis-driven results tend to overestimate LE during the early seasons at USKS2 but underestimate LE during the middle seasons at CAOAS. The low correlations between LE simulations and LE measurements at USSO2 are to some

extent due to lack of variation in satellite-observed NDVI and small LE sample size for comparison. Moreover, this site is located in the California Mediterranean climate zone where plant transpiration can be greatly impacted by occasional precipitation and fog events that are not directly captured in our ET algorithms. Despite these uncertainties in the LE simulations, both sets of model results generally correspond well with the tower observations and capture observed LE seasonality and interannual variability and associated differences among the major global biomes represented.

[38] For MF tower sites, LE/ET was computed as a weighted composite of all forest types within the tower footprint including ENF, EBF, DNF, and DBF, as deter-

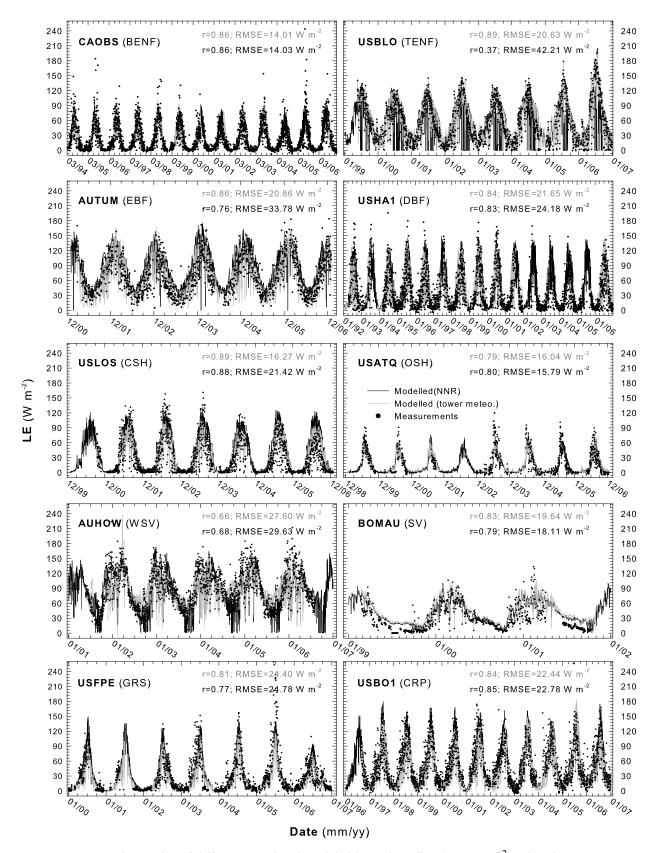


Figure 4. Time series of daily measured and modeled latent heat flux (LE: W m⁻²) using the NDVI-based ET algorithm driven by tower-measured and NNR meteorology for the representative tower sites with the longest (3–15 years) records for their respective land cover classes from the algorithm development tower set. The r and RMSE statistics are also listed for the two sets of simulations for each site. All r values are significant with 99% confidence. The time series and statistics of modeled LE driven by tower-measured and NNR meteorology are marked in gray and black, respectively.

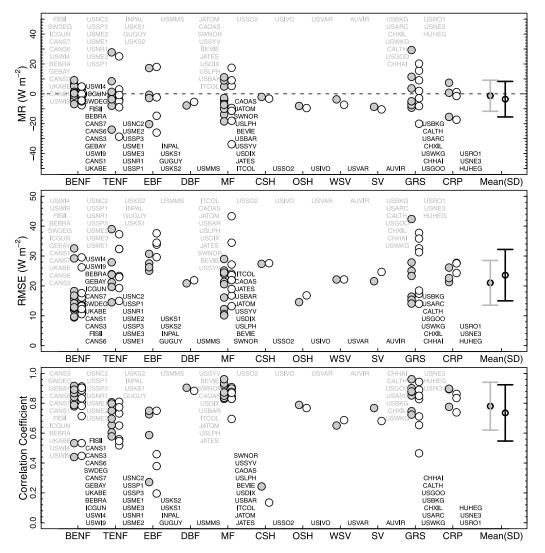


Figure 5. Comparisons of modeled and measured daily latent heat flux at the 48 validation tower sites. The meanings of the symbols and the organization of the graphs are the same as those in Figure 3. All *r* values are significant with 99% confidence.

mined from satellite remote sensing—based tree cover continuous fields data. This method accounts for the effects of varying tree compositions on the aggregate functional response of mixed forest stands and was evaluated at 10 MF tower sites across the global domain, including 3, 2, and 5 sites in Europe, Asia, and North America, respectively (Table 2). The two sets of simulations driven by tower and reanalysis meteorology inputs at these MF tower sites show generally similar LE/ET accuracies as non MF tower sites, with higher correlation coefficients than some biome types (Figure 5).

4.2.3. Evaluation of Monthly ET Estimates

[39] On a monthly basis, the model ET results derived from both tower-measured and reanalysis meteorology inputs agree well with ET observations from the 82 tower sites, including both algorithm development and validation sets (Figure 7). The tower-driven results account for approximately 84% of the observed variation in monthly ET measurements with respective RMSE and MR differences of 13.0 and -0.8 mm month⁻¹, while the reanalysis-derived results

account for 80% of the variation in measured ET with respective RMSE and MR values of 15.3 and -3.0 mm month⁻¹. The local tower conditions were poorly represented by the coarse NNR meteorology at several sites, reducing the overall performance of reanalysis-driven results. The USSRM site located in Arizona's arid area is the most obvious example. The coarse NNR meteorology poorly captures the air vapor pressure around this woody savanna site, resulting in substantial underestimates of ET and the flat distribution of the scatter points (solid triangles) in the lower left portion of Figure 7b. However, the reanalysis-driven results show similar performance relative to tower-driven results at most sites. Although the model results show small global biases for both algorithm development and validation sets, the high coefficients of determination (i.e., R^2), low RMSE, and MR differences for the two sets of monthly ET results indicate that the algorithm generally captures observed seasonal and inter-annual variations and site-to-site differences in ET.

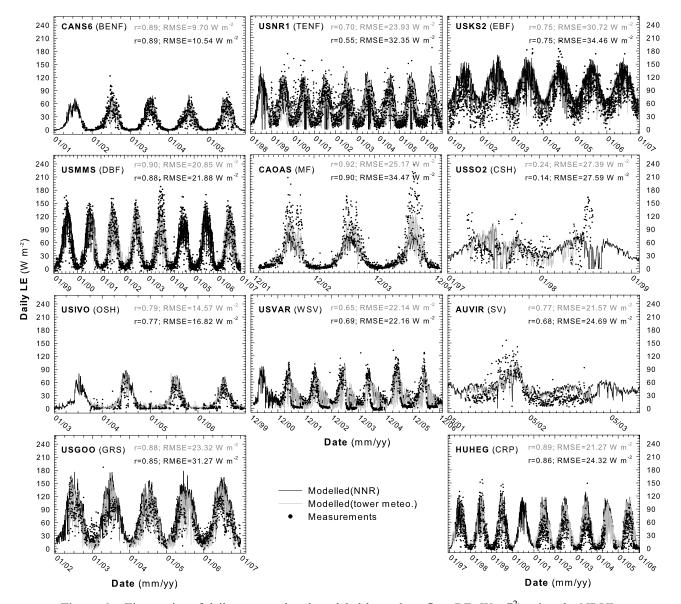


Figure 6. Time series of daily measured and modeled latent heat flux (LE: W m $^{-2}$) using the NDVI-based ET algorithm driven by tower-measured and NNR meteorology for representative tower sites with the longest (2–9 years) measurement records in their respective land cover classes from the validation tower set. The r and RMSE statistics are also listed for the two sets of simulations for each site. All r values are significant with 99% confidence. The time series and statistics of modeled LE driven by tower-measured and NNR meteorology are marked in gray and black, respectively.

4.3. Evaluation of ET at the Basin Level

[40] The satellite-based global ET results were evaluated against inferred basin-scale average ET derived from observed discharge and gauge-based (GPCC) precipitation records for 261 global basins (Figure 8). Figure 8a shows the global distribution of selected basins and the relative difference (%) between ET_{RS} and ET_{Inferred} defined as (ET_{RS} – ET_{Inferred}) × 100 / ET_{Inferred}. Figure 8b is the scatter plot of the relationship between ET_{RS} and ET_{Inferred}; these results indicate that ET_{RS} and ET_{Inferred} are similar for most basins (RMSE = 186.3 mm yr⁻¹; R^2 = 0.80). The relative difference between ET_{RS} and ET_{Inferred} falls within $\pm 50\%$, $\pm 20\%$, and $\pm 10\%$ for 95%, 68%, and 47% of the area covered by the 261 basins, respectively. The largest ET_{RS} and ET_{Inferred} difference occur in some northern high lati-

tude, subtropical and tropical basins (Figure 8a). ET_{RS} is much higher than ET_{Inferred} in some northern high-latitude basins including the Yukon, Mackenzie, Yenisei, Lena, Kolyma, Pechora, Indigirka, and Yana basins (Figure 8a). The mean ET_{RS} and ET_{Inferred} difference in these basins is approximately 100 mm yr⁻¹. This systematic difference is at least partially attributable to the substantial underestimation of GPCC precipitation from snow and wind-related biases of gauge observations and the sparse weather station network density in the northern high latitudes [*Yang et al.*, 2005]. *Zhang et al.* [2009] showed that the GPCC product underestimates precipitation by 7.15 mm month⁻¹ in relation to bias-corrected observations in these regions [*Yang et al.*, 2005]. The GPCC precipitation bias can contribute to an underestimation of 90 mm yr⁻¹ in ET_{Inferred}, which

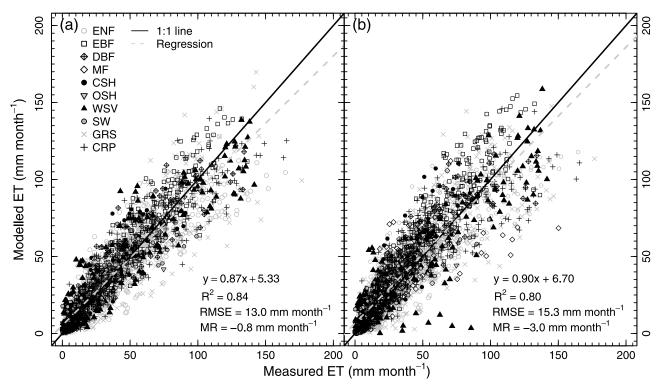


Figure 7. Comparisons between monthly modeled ET (mm month⁻¹) and tower measurements for the 82 tower sites; the simulations in Figure 7a are derived from tower-measured meteorology, while simulations in Figure 7b are derived from NNR meteorology and NASA/GEWEX SRB solar radiation inputs. These relationships are significant with 99% confidence.

approximates the average difference between ET_{RS} and $ET_{Inferred}$ in these basins. ET_{RS} is lower than $ET_{Inferred}$ in Western Africa and Indian subcontinent basins, indicating that the RS model may underestimate actual ET in these regions. However, uncertainty in the coarse GPCC precipitation and discharge measurements may also contribute to ET_{RS} and $ET_{Inferred}$ differences in these regions. Although there are large differences between ET_{RS} and $ET_{Inferred}$ in

some basins, the generally favorable agreement in these results for most areas indicates that the RS-based ET product is relatively accurate on a global basis.

4.4. Reanalysis Meteorology Impacts on LE Estimation

[41] Overall, the NNR temperature variables (T_{max} , T_{avg} , and T_{min}) show the highest correspondences with the tower

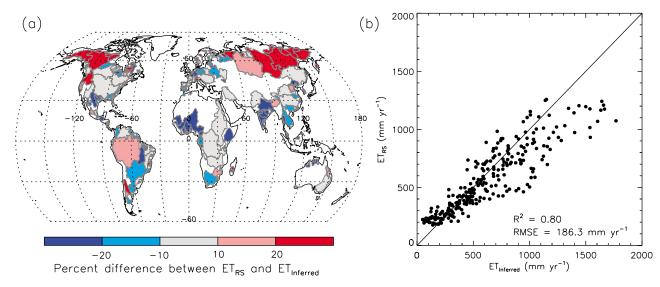


Figure 8. Comparisons between multiyear (1983–2006) average RS-based ET (ET_{RS}) and inferred ET (ET_{Inferred}) from basin-scale water balance calculations for 261 major global basins: (a) difference (%) between ET_{RS} and ET_{Inferred} and (b) scatter plot of ET_{RS} versus ET_{Inferred}.

Table 4. Statistics for the Comparison of Daily NNR Meteorological Variables and NASA/GEWEX SRB Net Radiation Relative to Daily Tower Observations^a

Variables	MR	Q_1	M	Q_3	RMSE	R^2
T _{max} (°C)	-0.08	-2.44	0.08	2.48	4.32	0.90
T_{avg} (°C)	0.10	-1.65	0.05	1.81	3.17	0.94
T_{\min} (°C)	-0.11	-2.39	-0.29	2.06	4.08	0.90
VPD (Pa)	-84.49	-225.94	-9.49	120.17	464.81	0.68
$R_{\rm n}~({\rm W~m}^{-2})$	-1.71	-20.47	-3.94	13.97	34.01	0.77

^aThe sample size for each variable is 97,655. The statistics include mean (MR), median (M), first quartile (Q_1), and third quartile (Q_3) of the errors defined as the measured values minus the reanalysis values, root mean squared error (RMSE), and coefficient of determination (R^2).

observations and explain 90% or more of variability in the observations, while the NNR VPD explains the lowest variability in the tower site observations (Table 4); the correspondence (R^2) between tower observations and NASA/GEWEX SRB results for R_n is generally intermediate between these results. The error distributions for the temperature variables are also narrow, and the mean errors for these temperature variables are close to zero. Both NNR VPD and NASA/GEWEX SRB-based R_n are generally overestimated relative to the observations and show considerable error distributions. The statistics in Table 4 suggest that the largest uncertainties in input meteorological parameters are from NNR VPD followed by SRB based R_n , while the NNR temperature variables have the lowest uncertainties. These results are also consistent with previous studies [Zhang et al., 2007; K. Zhang et al., 2008].

[42] The effects of uncertainties in the five meteorological variables on LE/ET calculations are site specific. The correlations of RMSD(LE) with RMSD(T_{max}), RMSD(T_{avg}), RMSD(T_{min}), RMSD(VPD), and RMSD(R_n) for the 82 flux tower sites are 0.21 (P = 0.06), 0.12 (P = 0.29), 0.02 (P = 0.06) 0.86), 0.41 (P < 0.001), and 0.34 (P = 0.002), respectively, indicating that uncertainties in the meteorological inputs have variable impacts to reanalysis-derived LE accuracy at the different tower sites. For some sites, uncertainty in reanalysis-derived LE is mainly caused by uncertainty in a single meteorological variable, e.g., AUHOW, USNR1, and CAOAS sites. For other sites, uncertainty in reanalysisderived LE is due to uncertainties in two or more meteorological variables, e.g., AUWAC, AUTUM, ITCOL, and CALTH sites. Overall, RMSD(LE) has the strongest correlation with RMSD(VPD), followed by RMSD(R_n) and $RMSD(T_{max})$. However, RMSD(LE) does not show clear correspondence with $RMSD(T_{avg})$ or $RMSD(T_{min})$. This is consistent with the above findings that the uncertainties in VPD and R_n are the major sources of uncertainty in the reanalysis-driven LE/ET estimates. Among the three variables, only $RMSD(T_{max})$ showed a significant correlation (r = 0.57; P < 0.001) with DEM heterogeneity within the 100 km × 100 km tower windows. Since temperature is highly sensitive to elevation and slope-aspect variations, greater topographic complexity surrounding individual towers reduces the correspondence between the coarse scale meteorological conditions represented by the NNR reanalysis and associated LE/ET simulations and local tower conditions. The NNR atmospheric humidity and NASA/GEWEX SRB products may be more impacted by accuracy in other forcing data including cloudiness, atmospheric aerosols, and atmospheric ozone concentrations [Kistler et al., 2001; Pinker and Laszlo, 1992].

4.5. Global ET Patterns

[43] We applied the NDVI-based ET algorithm with daily NNR surface meteorology and NASA/GEWEX SRB solar radiation inputs to calculate daily ET globally at 8 km spatial resolution from 1983 to 2006. The multiyear (1983–2006) average annual ET is plotted in Figure 9 and shows strong regional variations and latitudinal gradients corresponding to global climate patterns. Tropical rainforests in South America, Africa, and Southeast Asia have the highest annual ET, while drier areas within temperate and subtropical regions and the Arctic have the lowest annual ET. Annual ET values for temperate and boreal forests are generally intermediate between these two extremes. The estimated ET over water bodies is generally much larger than for adjacent vegetated areas within the same climate zone due to lower surface resistance to evaporation over water relative to land. The global terrestrial average annual ET weighted by area is 539.3 ± 9.1 mm yr⁻¹, which is about 0.60 ± 0.02 of the global average annual GPCC precipitation. The estimated global average ET to P ratio is similar to values reported from previous studies [e.g., L'vovich and White, 1990; Alton et al., 2009]. The Evergreen Broadleaf Forest biome has the largest average ET of 1138 ± 175 mm yr⁻¹ followed by Woody Savanna ($749 \pm 209 \text{ mm yr}^{-1}$), Deciduous Broadleaf Forest $(635 \pm 200 \text{ mm yr}^{-1})$, Savanna $(676 \pm 183 \text{ mm yr}^{-1})$, Permanent Wetland (529 \pm 311 mm yr⁻¹), Cropland (507 \pm 157 mm yr⁻¹), Mixed Forest (361 \pm 124 mm yr⁻¹), Closed Shrubland (352 \pm 166 mm yr⁻¹), Grassland (311 \pm 193 mm yr^{-1}), Evergreen Needleleaf Forest (294 ± 81 mm yr^{-1}), Deciduous Needleleaf Forest ($243 \pm 29 \text{ mm yr}^{-1}$), and Open Shrubland (202 ± 83mm yr⁻¹). Open water bodies cover about 3.4% of the global land area as inferred by the 500 m MODIS-IGBP land cover product, while mean annual ET from these water bodies is $906 \pm 561 \text{ mm yr}^{-1}$ and represents approximately 7% of total annual terrestrial ET.

[44] The magnitudes and spatial patterns of the estimated global ET are generally consistent with the literature. The study of Bruijnzeel [1990] indicated that annual ET ranges from 1310 to 1500 mm in humid tropical forests. Frank and Inouye [1994] used 19-25 year climate records to calculate annual ET at 94 sites representing 11 biomes and reported annual ET of 202 \pm 34, 380 \pm 43, 588 \pm 47, 884 \pm 71, and 1363 ± 77 mm yr⁻¹ for tundra (10 sites), taiga (11 sites), broadleaf forest (10 sites), savannah (4 sites), and wet tropical forest (10 sites), respectively. Measurements of water vapor fluxes from 1 September 2003 to 31 August 2004 in a 74 year mixed-wood boreal forest in Ontario, Canada, show an annual water loss of 480 ± 30 mm [Pejam et al., 2006]. Giambelluca et al. [2009] reported mean annual ET values of 823 and 689 mm for two tropical savanna sites in central Brazil using tower eddy covariance measurements. Eddy covariance measurements for a poplar plantation in Northern Italy revealed cumulative ET over three growing seasons (April–September) of 388, 471, and 484 mm for 2002, 2003, and 2004, respectively [Migliavacca et al., 2009].

[45] The multiyear mean seasonal patterns of ET from 1983 to 2006 using the NDVI-based ET algorithm with daily NNR surface meteorology and NASA/GEWEX SRB solar radiation inputs shows distinct global seasonality (Figure 10). The tropical rain forest regions show year-round high ET values, while tropical dry forest and savanna regions show alternate wet and dry seasons. The temperate

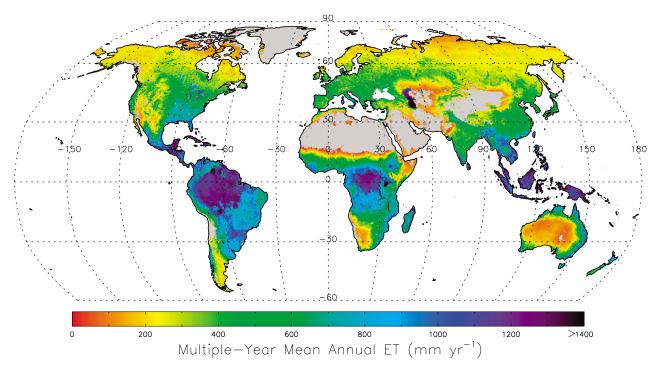


Figure 9. Global map of multiyear (1983–2006) mean annual ET as derived from the AVHRR GIMMS NDVI record, NNR daily meteorology, and NASA SRB solar radiation inputs. Barren land (in gray) and ocean (in white) areas were excluded from the model calculations.

and boreal-Arctic regions have much higher seasonal variability than the tropics.

5. Discussion and Conclusions

[46] We extended an NDVI-based ET algorithm, originally developed for the northern high latitudes [Zhang et al.,

2009], to the global domain by deriving biome-specific maximum canopy conductance functions for all major global biomes. The algorithm was modified using AVHRR GIMMS NDVI and corresponding tower eddy-covariance measurement derived canopy conductances from 34 globally distributed sites. The algorithm was applied using two sets of

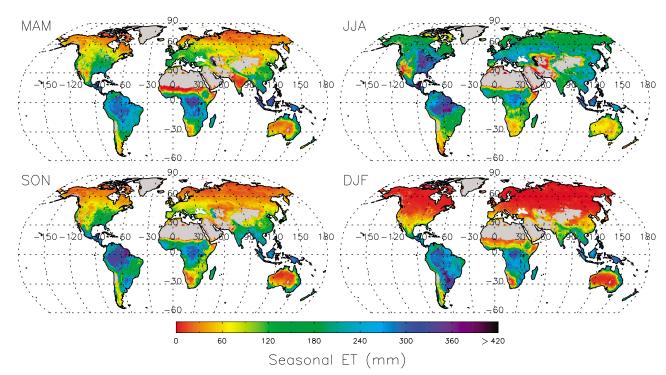


Figure 10. Multiyear (1983–2006) mean seasonality of global ET.

daily meteorology inputs, including in situ tower measurements and relatively coarse-resolution NNR and NASA/ GEWEX SRB gridded global products. The model was validated using tower ET measurements at the 34 development sites and 48 additional, independent tower sites encompassing the major global biomes. The AVHRR NDVI versus corresponding tower measurement derived potential canopy conductance values follow sigmoid-type response curves for the major biome types but with distinct differences among different biomes that follow characteristic variations in climate and associated plant adaptations; these results indicate that the NDVI-based canopy conductance algorithm is robust and more biophysically based than alternative approaches that employ a constant, maximum canopy conductance parameterization for individual biomes. The two sets of LE/ET simulations at the tower level show generally favorable agreement with tower measurements at daily and monthly time scales, although the tower-driven results show generally better accuracy than the reanalysis-driven results. These results indicate that the ET algorithm provides a reasonably accurate measure of spatial patterns and daily to annual temporal dynamics in global ET and corresponding land-atmosphere water and latent energy exchanges. The global ET results capture observed spatial and temporal variations at the global scale and compare favorably with ET inferred from basin-scale water balance calculations for 261 basins covering 61% of the global vegetated area. Through this study, we constructed an ET climatology based on long-term satellite observations for each pixel of the global vegetated land area.

[47] Two potential sources of uncertainty in the ET calculations are linked to corresponding uncertainties in tower eddy flux measurements and satellite-observed NDVI used for model development and validation. First, we used the 8 km AVHRR GIMMS NDVI record to derive NDVI values at each tower site. The tower measurement footprints are typically about 1 km in size [Baldocchi, 2008] and much smaller than the resolution of the overlying GIMMS NDVI grid cell. The satellite derived NDVI may not adequately capture subgrid scale vegetation signals at these sites, especially in areas of complex topography or heterogeneous land cover; thus, model error for some tower sites may be attributed to inaccurate NDVI representation of tower footprint conditions. The ET algorithm performance may also be negatively impacted by uncertainty in tower eddy flux measurements and associated lack of energy balance closure due to complexity in wind patterns, footprint representation and sampling variability [Twine et al., 2000; Wilson et al., 2002]. Twine et al. [2000] reported that the discrepancy in energy balance closure is generally about 10%-30% when the eddy covariance method is used. These tower measurement uncertainties are within the range of accuracy of the algorithm ET calculations but may also introduce additional model error because tower LE measurements are used to derive biome-specific relationships between g_0 and NDVI. In addition, the ET algorithm in this study does not explicitly consider the impacts of precipitation events on surface conductances due to the limited availability of accurate global precipitation data, which may introduce ET estimation uncertainty.

[48] Despite the above uncertainties, the ET algorithm performs well across the observed range of global biomes, vegetation conditions, and climatic regimes as indicated by

favorable agreement with LE/ET measurements from 82 diverse tower sites, annual ET values reported in the literature and basin scale ET estimates inferred from the regional water balance. The algorithm is also simple enough to apply with long-term global satellite NDVI records for evaluating regional ET anomalies and climatologies, drought, agricultural, and forest health monitoring and other applications. The results of this study also represent a systematic and continuous long-term (24 year) global record of ET/LE with well-quantified accuracy useful for global assessment of ET climatologies and climate change assessment of terrestrial water and energy cycle dynamics and interactions.

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